Urban physical development and master planning in Zimbabwe: An assessment of conformance in the City of Mutare

Aaron Mabaso, Munyaradzi Davis Shekede, Innocent Chirisa, Lazarus Zanamwe, Isaiah Gwitira and Elmond Bandauko*

Abstract
Urban master plans play a critical role in the environmental management of urban landscapes in that they guide the physical developments that take place on and in them. In spite of this important role, there is limited knowledge on the degree to which their mapped objectives are achieved in actual terms. This gap in knowledge is mainly due to the lack of empirical methods for assessing plan implementation. In this study, we assess the degree of conformance of physical developments in the city of Mutare to master plan land use proposals. To do this, we carried out a GIS-based overlay analysis of the master plan and the land-use outcomes. We used an error matrix and Kappa Coefficient to assess whether land use proposals conform to existing developments in the city. The results of this study are that there is high overall conformance, although some proposed land uses do not conform to the master plan. We conclude that the adoption of GIS-based methods of compliance monitoring of land-use activities within urban environments provides an objective, rapid and efficient way of managing activities within such environments. We therefore recommend the adoption of such methods in urban areas for early detection of non-conformance and sustainable management of urban areas.

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Introduction and background

Although several studies have been carried out on conformance of land use activities to physical plans (Baer, 1997; Oliveira & Pinho, 2009; Chigara, et al., 2013), few studies have assessed the conformance of physical developments to land use proposals (Alterman & Hill, 1978; Talen, 1996b; Brody & Highfield, 2005), despite the central role such assessment plays in regulating and managing physical urban development. In the absence of quantitative empirical assessment of plan implementation that links plan intentions to land-use outcomes, there is limited knowledge on the extent to which Master/Local Plans are guiding physical development in urban areas. In fact, most urban planning evaluation has focused more on planning practice, quality and nature of plans, with less attention paid to what master plans achieve in practice (Laurian, et al., 2004). This seems to be the case with urban planning in Zimbabwe, where most studies have focused on either evaluating piecemeal planning practice (Chigara, et al., 2013) or examining urban development control challenges that exist in Zimbabwean planning practice (Chipungu, 2011). This study therefore seeks to assess the conformance of physical developments to the urban master plan land-use proposals, using the City of Mutare as a case study. It attempts to provide an understanding of the degree to which the physical plan is implemented and its objectives are met.

The assessment of master plan implementation makes it possible to determine the extent to which land use activities conform to master plans. The findings of this assessment may also be converted into knowledge (Alexander & Faludi, 1988). Such knowledge on the extent to which development plans are guiding physical developments in urban settings may make it easier to effect the necessary improvements on plan implementation and help to validate the importance of urban master plan production.

The study is also important in determining the relationship that exists between urban planning theory and practice, and the effectiveness of the existing planning system in controlling urban development. In theory, physical developments should conform to the master plan’s land use proposals and any non-conformance will represent a gap between planning theory and practice or an ineffective planning system. In this study, we assess the degree of conformance of land-use activities of City of Mutare to the master plan within a Geographic Information System as a first step towards understanding the sustainability of urban developments in Zimbabwe. In theory, the plan should influence development by guiding the physical outcomes on the urban landscape. Thus, this assessment determines whether and to what extent the predictions by urban planning obtain in practice.

Theoretical underpinnings and literature review

The broad objective of urban development and planning regulations is to ensure the orderly development of urban areas. An urban development plan therefore sets the agenda for the development of the local authority’s area over its lifespan (Chigara, et al., 2013). Urban development plans seek to provide a suitable living environment for all by ensuring safety, amenity, accessibility, energy conservation and environmental protection. They also provide for orderly and progressive development of land in urban areas, preserve amenities on that land and promote environmental control and socio-economic development (Ondiege & Okpala, 1999). Most cities in developing countries are confronted with a number of problems, including, but not limited to, the upsurge in slums, increased congestion and environmental pollution. These problems are usually indicators of non-implementation or inadequate implementation of physical plans, or a mismatch between actual master plans and land-use outcomes (Diaw, et al., 2002; Chigara, et al., 2013; Afrane & Adjei-Poku, 2013). This mismatch may be the result of normal variation in the planning and development process, or be indicative of problems in the planning process (Loh, 2011).
An empirical assessment of land-use outcomes against master plans is therefore critical, as it may provide data required for the development of sound management strategies, so as to ensure full implementation of urban master plans. The understanding and evaluation of urban master plan implementation also determines the plans’ effectiveness and success, allowing for the improvement of plans and the planning process (Oliveira & Pinho, 2009; Oliveira & Pinho, 2010).

There are two approaches to assessing the implementation of urban development plans, namely conformance and performance based evaluation (Loh, 2011). The selection of the approach to be used depends on one’s understanding of spatial planning. A conformance based evaluation focuses on assessing the linkages between plans and actual urban developments. In this case, implementation is considered a success if developments conform to plan proposals (Faludi, 2000; Laurian et al., 2004). The performance-based approach focuses on planning processes and considers the plan as an aid to future decisions-making processes rather than a blueprint. A plan is considered to be implemented if development patterns adhere to its policies and meet its objectives, and plan implementation is considered to be a success if the plan is consulted in decision-making processes (Faludi, 2000). The performance based approach focuses on whether and how the plan is consulted in subsequent planning process which corresponds to a detailed analysis of the decisions and actions of a number of actors who are supposed to receive the plan messages (Oliveira & Pinho, 2009; Oliveira & Pinho, 2010). As indicated earlier, a plan is considered implemented if it is used or consulted in decision-making processes, though not necessarily adhering strictly to the actual outcome. Departures from a plan may be also considered implemented if they are rational or inevitable (Alexander & Faludi, 1989; Faludi, 2000; Mastop, 1997; Mastop & Faludi, 1997).

The plan assessment approach is based on the assumptions about planning, its function, or purpose (Faludi, 2000). In this case, plans can be classified as either project plans or strategic plans. Project plans involve a technical exercise which produces a document containing a set of prescriptions for action, a blueprint of the intended outcome and the measures needed for its realization (Faludi, 2000; Laurian et al., 2004). Strategic plans involve a learning exercise, which produces an indicative and flexible plan document capable of guiding the planning process and evolving alongside with it (Faludi, 2000). Different plan evaluation approaches make use of project and strategic plans. The conformance-based approach is suited to project plans, where implementation assessment must follow the logic of conformance of outcomes to plan intentions. The performance-based approach is suited to strategic plans, where there is need to establish whether or not the plan performs its function of guiding the decision-making process (Faludi, 2000).

**Urban planning challenges in developing countries**

Developing countries are urbanising at an unprecedented pace (Roth, 2012). More than 90 per cent of urban population growth in the next 30 years will occur in Asia, Africa and Latin America. Although urbanisation is increasing, there are several challenges for policymakers, particularly, as urban population growth and steadily rising incomes result in higher resource consumption. Cities in many developing countries have not been able to cope with the rapidly surging demand for housing, physical infrastructure (including roads and telecommunication technologies), and social services such as health and education to address the needs of growing populations (Cohen, 2004). In 2010, for the first time ever, more than half the global population was living in urban areas. In keeping with this trend, it is estimated that by 2050, urban areas will be home to more than two thirds of humanity. This fast pace of urbanisation, mainly in developing countries, is creating cross-sectoral challenges for urban governance that need to be addressed, using integrated,
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multistakeholder mechanisms. The key challenges are urban sprawl, lack of infrastructure, depletion of resources, environmental deterioration and the risk of natural disasters. The following paragraphs are going to provide detail on some of the major urban planning challenges facing developing countries and explain how GIS and remote sensing can provide solutions to some of them.

In most developing countries such as Zimbabwe, master and local plans are the two main planning instruments used to guide urban development. In this study, we sought to assess how urban development conforms to what is laid down in these master plans, using the City of Mutare as a case study. It is important for planners to evaluate what happens to plans after they are adopted, identifying factors likely to lead to higher levels of implementation and effectiveness (Alexander & Faludi, 1989; Talen, 1996b; Baer, 1997; Laurian et al., 2004; Brody & Highfield, 2005). In most cases, a development plan precedes development and it is therefore illegal to carry out any development without a valid development permit from the presiding local planning authority (Chigara et al., 2013). With particular reference to Zimbabwe, which borrows heavily from British physical and spatial planning, development is defined as carrying out any building or mining operations in, on, under or over any land, the subdivision or consolidation of land, or making any change in the use of land. This is explicitly spelt out in Section 22 of the Regional, Town and Country Planning (RTCP) Act (Chapter 29, 12). Evaluating the implementation of master plans in urban areas is a major focus in planning. Planners seek ways of determining whether and how well plans have been implemented (Loh, 2011) because plans and land-use outcomes do not match perfectly, giving rise to areas of non-conformance.

In most developing countries, there is limited knowledge on the linkage that exists between development plan objectives and physical development outcomes. This may be attributed to the lack of empirical assessment methods of plan implementation in urban planning (Talen, 1996a; Talen, 1997; Laurian et al., 2004a; Brody et al., 2006). Furthermore, the social, economic and ecological development of a city is difficult to measure quantitatively (Tian & Shen, 2011). Urban development plans are critical for guiding sustainable future physical urban development. They are expressed in terms of mapped physical development objectives (Talen, 1996a; Talen, 1996b). In keeping with planning theory and policy, physical urban development should be in line with developments envisaged on the master plan proposals. Master and local plans are regulatory urban growth management policy instruments used by Local Planning Authorities in urban environment management (Brody & Highfield, 2005) to ensure the rational use of land resources vis a vis competing economic, environmental and social needs of society (Chigara et al., 2013).

The lack of urban spatial plans or failure to adhere to the provisions of such plans leads to unplanned, uncontrolled urbanisation, also known as urban sprawl, which creates single-use, low-density settlements. Urban sprawl, a common phenomenon in developing countries, leads to increased land consumption and loss of prime agricultural land. Haphazard urbanisation dictates future urban land and resource consumption patterns, and limits the decisions of urban planners (United Nations Human Settlements Programme (UN-HABITAT, 2012a). As a result, urban areas end up with inefficient infrastructure and resource usage patterns that are costly and time-consuming to change. Rapid urbanisation causes areas in the immediate vicinity of cities, known as peri-urban areas, to undergo rapid transformation in terms of land allocation, social structure and economic activity. In the absence of planning and regulatory frameworks, peri-urban spaces face severe environmental, economic and property-related challenges, and gradually lose their role in supporting cities with food, energy, water, building materials and ecosystem services. Urban sprawl also causes property speculation raising land prices to unaffordable levels for peri-urban farmers (United Nations Population Fund, 2008; McMichael, 2000; Glaeser, 2011; Economic and Social Commission for Asia and the Pacific et al., 2011).
Urban infrastructure in a number of developing countries, and particularly least developed countries (LDCs), is inadequate and insufficient to meet the needs of rapidly growing populations and economies. Owing to the lack of affordable housing including basic services such as water, sanitation and electricity, especially amongst lower-income groups, informal shelter is being built without infrastructure, with little sanitation and without compliance to planning or building regulations. LDCs have particularly high urban growth rates and require special attention in terms of provision for shelter, sanitation and other infrastructure needs. Most LDCs are located in sub-Saharan Africa and South-East Asia, where natural disasters pose constant risks. 40 per cent of Africa’s one billion people are currently located in urban areas, and half of them live in informal settlements where water supply and sanitation are severely inadequate (Linares, 2003). In sub-Saharan Africa, where more than 30 LDCs are located, slums absorb around three quarters of urban population growth. The urban population of sub-Saharan Africa will double and reach 600 million by 2030 (Department of Economic and Social Affairs, 2012; Satterthwaite, 2007; Food and Agriculture Organisation of the United Nations (FAO), 2012).

In rapidly growing urban environments, low-density sprawl causes per capita distribution and maintenance costs for energy and water to increase rapidly (Linares, 2003). Large, centralised energy production facilities require costly, long distribution systems that are largely unavailable, harder to monitor and vulnerable to misuse and natural disasters. Moreover, centralised energy production follows a supply-driven approach, prioritising the sale of energy over saving energy, creating no incentives for energy efficiency practices that can reduce consumption.

Growing incomes in developing countries lead to an increase in resource consumption. As a result, the pressure on energy, food and water resources is steadily rising. Buildings represent a resource efficiency challenge, as they account for 40 per cent of global energy use, 38 per cent of global greenhouse gas emissions, 12 per cent of global potable water use and 40 per cent of solid waste streams in developed countries (United Nations Environment Programme (UNEP), 2012). The absence of resource-efficiency measures for buildings results in unnecessarily high costs on consumers, lasting resource burdens, environmental damage and social inequality that will burden future generations. More than half of urban residents in Africa live in slums, are undernourished and employment opportunities are scarce. Commercial horticulture, a source of nutrition and employment that is widely practised in peri-urban areas, especially in Africa, risks becoming unsustainable, owing to lack of support, recognition and regulation. Agricultural lands are converted to urban uses, fragmented and sometimes polluted, as sprawling development takes place. As a result, an important opportunity for health nutrition of urban populations and a source of employment, for female workers especially, remains underutilised (FAO, 2012). Water scarcity can turn into a serious health issue for both rapidly growing cities and peri-urban areas and it is negatively affecting access to sanitation. The number of inhabitants in cities of sub-Saharan African countries without access to adequate sanitation more than doubled between 1990 and 2010, reaching 180 million people (FAO, 2012, p. 14). Moreover, peri-urban areas on city fringes compete with urban residential and industry demand for water. Urbanisation therefore endangers water resources that are critical for agriculture and food production. Increased urban demand for water raises its price and urban water usage can lead to excessive groundwater extraction, causing longer periods of drought (Thapa et al., 2010). Water leakages and abuse are also serious problems in many countries.

Urban sprawl damages the environment and affects the livelihood of peri-urban communities by occupying land that could otherwise be utilised for agriculture, tourism and recreational activities. While rural and peri-urban populations benefit from new economic dynamism in manufacturing and services that urbanisation brings, they do not always
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enjoy an improved quality of life, owing to the adverse environmental consequences of urbanisation in the form of air pollution and lack of green space (Cohen, 2004).

Uncontrolled solid waste is another serious environmental issue for cities in developing countries, as it poses a serious health risk for urban populations and damages the environment. Global solid waste generation is expected to increase from 1.3 billion tonnes per year to 2.2 billion tonnes by 2025. Rates of solid waste growth are fastest in China, other East Asian countries, parts of Eastern Europe and the Middle East (Hoornweg & Perinaz, 2012). Solid waste management can be costly, reaching up to half of the total municipal budget of medium-sized cities in lower-income countries. In terms of environmental damage, the incineration of solid waste may cause air pollution. Landfills produce methane, which contribute considerably to greenhouse gas emissions. Much of the waste discarded in landfills does not decompose easily, leaches pollutants, and travels long distances through soil and water. Landfills can also become breeding grounds for rats, mosquitoes and other disease vectors. They may lower the attractiveness of cities, creating visual blight and nauseating odours.

Cities in developing countries that are experiencing unplanned urbanisation currently face the risk of huge economic and human losses from natural hazards. Climate change-related calamities that will affect urban areas include sea-level rise, storm surges, extreme rain, heat waves/heat–island effect, water scarcity and air pollution. Such hazards will threaten health, the environment and urban infrastructure and resources further in the coming decades (World Bank, 2012, p. 14). Urban areas in developing countries that have large populations and are situated on the coast, such as Mumbai, Guangzhou, Shanghai, Ho Chi Minh City, Kolkata and Alexandria, are particularly exposed to climate-related hazards. In the past 20 years, floods have become the most frequent natural disaster. The average annual number of floods has increased at a higher rate than any other natural hazard (Green Media, 2012, p. 139). Other natural disasters such as earthquakes have also been on the rise, and cities in developing countries with low-quality buildings are unable to cope with the damage caused by such events. Informal settlements located on city fringes and other lower-quality buildings remain a critical challenge for developing countries due to their low construction standards and poor drainage systems. In some countries, informal settlements are commonly built on low-lying areas prone to flooding, and in locations that are vulnerable to landslides, subsidence and other natural disasters. This situation leaves these already-vulnerable social groups most exposed to disaster risk. Many developing countries, particularly LDCs, lack the financial and human resources and institutional capacity required to develop and administer disaster-risk-management strategies. Few have procedures to mainstream disaster risk management and climate change adaptation into urban planning or monitoring city performance in terms of reducing risk (World Bank, 2012, p. 16). It is clear that a number of common institutional issues require urgent attention. These include the limited understanding of risk and the need for methodologies to assess risk and devise solutions tailored to urban growth areas and informal settlements.

**Rationale of application of gis and earth observation**

The highlighted urban challenges in developing countries can be addressed using Geographical Information Systems (GIS) and remote sensing technologies. Science, technology and innovation can provide a variety of solutions in the urban context, ranging from high technology-based solutions to retrofitting and other innovative approaches to urban planning and governance that employ more basic technologies. Each urban setting faces different challenges and has different technology needs. In some cases, inexpensive and readily available technologies may be the best solution to urban problems. For example, intermodal transport services can be designed without necessarily requiring an
expensive, high technology means of transport. Choices in the developing-country context
differ from those in developed countries, owing largely to limitations in expertise, financing
and human resources. Constraints are particularly acute in LDCs, limiting their ability to
cope with the challenges of rapid urbanisation and ensure the bare minimum – food, water
and electricity – required to sustain the livelihoods of their citizens. This section focuses
on technology choices for sustainable urbanisation in developing countries. Information
and communications technologies (ICTs) have a key role to play in the urban context
because they can be applied to solve a variety of cross-sectoral urban problems, and most
of the time do not require large, expensive capital infrastructure. Potential urban uses of
ICTs include geospatial tools for spatial planning, simulation and visualisation modelling,
mobility tools, solutions for optimising energy and water management, disaster monitoring
and response, and social inclusion.

GIS is a very useful tool for assessing conformance especially in land use planning and
implementation (Loh, 2011). GIS can also be used to compare the future land use maps
with existing land use in each urban area by converting the shape files to raster format
and running a ‘not-equal’ analysis to identify areas of difference. This is a very simple but
effective way of comparing land-use layers. The software compares each cell in the existing
land use layer with its corresponding cell in the future land use layer and returns a new
raster layer that shows all differences between the two (ibid.). Geospatial tools such as
satellite maps and data layers of geographic information systems can be used in the urban
context for various purposes:

(a) Mapping underground utilities, mines, tunnels and other city infrastructure to
identify and detect problem, improve efficiency and design extensions;
(b) Mapping areas at risk of earthquakes, floods, landslides and other natural disasters,
and adjusting development plans;
(c) Identifying infill areas such as abandoned land or buildings that are suitable for
redevelopment and planning for their reallocation;
(d) Mapping natural resources such as prime agricultural land and unique or endangered
habitats;
(e) Mapping historic and cultural sites that should be protected and designing future
urban development that is suited to the city’s cultural heritage;
(f) Providing virtual addresses to houses and business enterprises that lack formal
addresses;
(g) Combining multilayer statistical information with satellite maps to carry out analyses,
for example, poverty targeting, urban infrastructure and transport planning, and
socioeconomic analysis such as crime statistics and tracking illegal settlements
(UNCTAD, 2012); and
(h) Remote sensing can also be used to model and monitor the patterns of urban
expansion so that sustainable urban development policies and plans can be
developed.

City planners can use simulation, modelling, visualisation technologies to aid long-
term planning and investment decisions. Simulation tools can be used to carry out urban
development planning, siting and design of buildings, traffic and energy analysis as well
as emissions calculations. ICTs can be applied in various ways to improve mobility within
cities, including traffic management, multimodal trip planning and congestion pricing. In
the context of low income and informal areas of developing countries, ICTs can help by
allowing transit companies and cities to collaborate on transit priority systems, timing
traffic signals to ensure the safe movement of pedestrians and bicycles, not just cars. ICTs
and smart phones make it possible for city dwellers to benefit from new mobility business
models such as carpooling, car sharing and incentive programmes that encourage biking to
work. ICTs also make it possible to run transport subsidies for individuals and households
with low incomes that might not be able to afford the standard rate for transport services. In summary, the urban challenges in developing countries and possible GIS and remote sensing solutions can be represented in Table 1.

**Table 1: Matrix of urban planning challenges and the use of GIS and remote sensing**

<table>
<thead>
<tr>
<th>Urban Challenge in Developing countries</th>
<th>How can GIS and Remote Sensing help?</th>
</tr>
</thead>
</table>
| Urban Sprawl                          | • Identifying infill areas such as abandoned land or buildings that are suitable for redevelopment and planning for their reallocation  
• Remote sensing can be used to monitor the patterns of urban development or expansion |
| Risks of Natural Disasters            | • Mapping areas at risk of earthquakes, floods, landslides and other natural disasters, and adjusting development plans |
| Traffic Congestion                   | • GIS can be applied in various ways to improve mobility in cities, including traffic management, multimodal trip planning and congestion pricing |
| Lack of Infrastructure                | • Combining multilayer statistical information with satellite maps to run analyses, for example, poverty targeting, urban infrastructure |
| Environmental Deterioration           | • GIS can be used to identify suitable sites for landfill facilities. |

In Zimbabwe, planning systems use master and local plans, which are statutory plans prepared in accordance with the provisions of the Regional, Town and Country Planning Act (RTCP Act) Chapter 29:12 (1996). The Regional, Town and Country Planning Act is a piece of legislation that guides urban development and planning. It is the main instrument governing urban development and planning in Zimbabwe (GoZ, 1996; Chigara et al., 2013). The Regional, Town and Country Planning Act gives specific powers to urban local authorities to prepare town planning schemes (Wekwete, 1989; Chipungu, 2011). Urban plans should contain proposals that ensure the coordinated development, redevelopment or improvement of the urban landscape as provided for in the Regional, Town and Country Planning Act (GoZ, 1996). The planning process is aligned to the comprehensive rational concept of planning, with a study of the planning area and wide consultation with all relevant stakeholders constituting prerequisites of its approval or adoption. Once approved, the plan becomes a legally binding instrument guiding development in the urban area.
2. Materials and methods

2.1 Study area

The city of Mutare is located in Manicaland Province, at latitude 18°58’0” and longitude 32°40’0” and is about 265km east of Harare, the capital city of Zimbabwe (Figure 1). The existing city of Mutare boundary encompasses an area of 16,290.75 hectares. The population of the city has increased significantly from just 69,621 in 1982 to 131,367 in 1992 and 187,621 in 2012 (Zimbabwe National Statistics Agency, 2012). This signifies growth rates of 89% in the first decade and 43% in the last decade. The city of Mutare Council is the city’s Local Planning Authority and it uses a master plan that was approved in 1993 to control physical developments in the city.

Figure 1: The location of the City of Mutare within Mutare district of Zimbabwe

Conformance based approach

In this study, we adopted the conformance based approach to assess the extent to which master plans and actual land use outcomes match in the city of Mutare, following several steps. First we extracted the spatial land use data for the city of Mutare in a GIS. We obtained the master plan map of the City of Mutare from the Department of Planning and converted the hardcopy master plan into digital form using a scanner at a resolution of 600dpi. We then imported the scanned map into a GIS environment and subsequently georeferenced it based on the UTM Projection, WGS84 Datum and Spheroid. Thereafter, we digitized the proposed land uses on the master plan in a Geographic Information System to extract all the proposed land uses as provided for in the master plan. This data formed the basis for the assessment of land use activities being implemented on the ground. Figure 2 illustrates the proposed land use activities in the City of Mutare based on the 1993 master plan.
After extracting the land uses on the master plan through on-screen digitizing, we created a map of existing developments based on the approved layout plans (land subdivisions maps), Digital globe images housed on Google Earth and site visits. We made use of satellite images for areas that were fully developed, while approved layout plans were used on partially developed and undeveloped land. The latter category consisted of land parcels, which had development permits/approvals. Fig. 3 shows the spatial distribution of existing developments/landuses in the city of Mutare.
2.5 Determination of conformance of physical developments to master plan proposals

We performed an overlay analysis based on the proposed land use map (Figure 3) and the existing land use map (Figure 5) to determine the degree of conformance of existing land uses to the land uses provided for in the master plan. We then extracted an error or confusion matrix (a cross-tabulation formed by the overall agreement-disagreement between existing developments and land use proposals (Silván-Cárdenas & Wang, 2008). From the confusion matrix, we calculated errors of omission and commission, overall conformance and Cohen’s Kappa Coefficient as measures of conformance.

Omission error occurs in instances where the proposed land use class on the master plan is not the same as the existing land-use on the ground. The error was calculated by dividing the total of the row’s off-diagonal cell values by the row marginal/total. Commission error exists where the existing land use class on a given area was not the same as the proposed land use class, and it was calculated by dividing the total of the column’s off-diagonal cell values by the column marginal/total.

Overall conformance refers to the proportion of agreement and was calculated by dividing the sum of diagonal cell values by the overall sum. Cohen’s Kappa Coefficient refers to the proportion of agreement. This was calculated using the formula in Equation 1(Cohen 1960).

\[ K = \frac{P - P_e}{1 - P_e} \]

Equation 1: Cohen’s Kappa Coefficient (K) = \( \frac{P - P_e}{1 - P_e} \)

where \( P \) represents overall conformance; and \( P_e \) represents the proportion of units expected to agree by chance and was calculated using the Equation 2.

\[ P_e = \frac{\sum_{i=1}^{n} m_i n_i}{N} \]

Equation 2: \( P_e = \frac{\sum_{i=1}^{n} m_i n_i}{N} \)

where \( m_i \) represents the sum of row \( i \); \( n_i \) represents the sum of column \( i \); and \( N \) represents the overall sum.
2.6 Mapping the Spatial distribution of Areas of Non-conformance

Based on the overlay operations, we determined both areas of conformance and non-conformance. To do this, we first determined areas with developments that are outside the designated built-up areas on the master plan by overlaying the proposed built-up area map with the existing developed area map. Next, we performed an overlay analysis to determine non-conformance of existing land uses to land use proposals using conditional statements that combined the entire off-diagonal cells in the confusion matrix.

3. Results

3.1 Conformance of Developments to Master plan Proposals

Table 1 illustrates the results of the overlay analysis based on existing developments and land use proposal. The error matrix indicates the degree of conformance of developments to land use proposals for the City of Mutare. We observe that there is generally high conformance of physical developments to the city’s master plan, as indicated by a large area that is within the diagonal as compared with the off-diagonal area. Specifically, the overall conformance is 95.94%, while the Kappa Coefficient is 0.94 (Table 1).

Table 1: Error matrix – conformance of developments to master plan proposals

<table>
<thead>
<tr>
<th>PROPOSALS (Reference data)</th>
<th>EXISTING DEVELOPMENTS</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>Open Space</td>
<td>650562</td>
<td>692271</td>
</tr>
<tr>
<td>Institutional</td>
<td>62612</td>
<td>68287</td>
</tr>
<tr>
<td>Residential</td>
<td>614274</td>
<td>618308</td>
</tr>
<tr>
<td>Industrial</td>
<td>584</td>
<td>222068</td>
</tr>
<tr>
<td>Cemetery</td>
<td>410</td>
<td>9960</td>
</tr>
<tr>
<td>Commercial</td>
<td>746</td>
<td>18181</td>
</tr>
<tr>
<td>TOTAL</td>
<td>650562</td>
<td>1629075</td>
</tr>
</tbody>
</table>

The omission error ranges from 0.65% to 8.31%, with a mean of 5.45%. Residential land use class has the lowest omission error, with only 0.65% of the proposed residential area representing occupied land uses that are not on the Master plan. Land use that is designated as institutional land use has the highest omission error, with approximately 8.31% of its proposed area being occupied by other land uses. On the other hand, commission errors range from 0.0% for open space to 15.2% for lands planned to be used as cemeteries.

Figure 4 shows the spatial distribution of areas that were proposed as open spaces but have been developed for other purposes, such as residential uses. These developments occupy about 6% of the area proposed as open space and the majority of this area has been used for residential developments.
An assessment of the overall non-conformance of physical developments to master plan proposals i.e., the total off-diagonal cells in the error matrix (Table 1) shows that close to 4% of the whole urban landscape does not match the land uses proposed in the master plan. Furthermore, we observe that most of the non-conformance occurred when land reserved for open space was occupied by other land uses, as shown in Figure 5.

Figure 5: Spatial distribution of areas where there was non-conformance of developments to land-use proposals
3.2 Discussion

Results of this study show that the city of Mutare land-use activities have a high degree of conformance to the master plan proposals (Overall conformance = 95.94% and Kappa Coefficient of 93.8). The low errors of commission and omission also point towards high levels of conformance of land uses to the master plan. The results of this study also show that open spaces have no commission error. This suggests that there are no proposed built-up areas. Since the study considered development as an on-going process, proposed built-up areas that are currently undeveloped were treated as land committed to the proposed land use class rather than land available for open space/environmental use. For example, proposed residential land yet to be developed was considered to be land committed to residential land use class or residential developments in progress rather than open spaces, which would have constituted non-conformance to proposals. The residential land use classification had a low commission error, with only 0.65% of the proposed residential area being occupied by other land uses. However, there are some institutional developments such as schools and clinics on the ground within the proposed residential area. The study did not view their existence as non-conformance, since the plan did not specifically make provision for the distribution of institutions within residential areas, and treated them as ancillary services to residential use. According to the master plan, the distribution of institutions was to be determined during plan implementation based on the available needs, such as a primary school for each 300 residential stands established.

This study has attempted to show that assessing urban master plan implementation using the conformance based approach is important in environmental planning, in view of the negative environmental implications associated with non-conformance. The formulation of urban master plans follows the rational comprehensive approach, where decisions are made taking into account the urban landscape system as whole. However, decisions that are associated with non-conformance tend to be narrow sighted, characterised by a shift from comprehensive to piecemeal planning. According to Chigara et al. (2013), piecemeal urban planning or development, which are not guided by developmental plans, results in uncoordinated and incompatible spatial developments. Non-conformance to comprehensive urban plans also has negative implications for ecosystem functions and services. This is the case of Chitungwiza and Harare, where wetlands preserved or reserved under the master plans, are being reclaimed for urban development (Sithole & Goredema, 2013). Although the non-conformance of city of Mutare developments has little effect on the compatibility and coordination of land uses, it evidently affects ecosystem functions and processes by reducing green spaces in the city. The 6.03% omission error for open space land use category represents an area of 417 hectares, initially reserved for open spaces or environmental use, which is now occupied by developments. This is a significant size of land, considering that most of the green spaces in City of Mutare serve as biodiversity reserves of national importance. The urban area is located within the Eastern Highlands, which are known to have relatively higher plant biodiversity than other parts of the country (Ministry of Environment & Natural Resources Management, 2010).

One of the affected areas is Birkely, located north-east of Mutare and adjacent to Cecil Kop Nature Reserve. The area was preserved as an open space under the master plan owing to its environmental value in terms of woody species. The current uptake of this important environmental space for the purposes of residential development therefore has negative implications on the maintenance of biodiversity and functional ecosystems. The socio-economic needs have taken precedence over ecological needs, thereby compromising the sustainability of the urban environment.

On the whole, however, the study results show that the implementation of city of Mutare’s master plan was a success, since it achieved most of its mapped objectives. The
findings show that the master plan is effective in guiding the physical urban development of the city of Mutare. Although this study focused on the city of Mutare, it can also be useful to other urban local planning authorities, as the method and materials used in the study can form the basis for assessing compliance to statutory developmental plans. Studies or assessments of this nature are important in monitoring and evaluating implementation of urban plans. In addition, the exercise can also be done at local or neighbourhood level of the city to determine the linkage between approved layout plans/land subdivisions and the actual developments on the individual stand/subdivision.

4. Conclusion
This study used a conformance based approach to evaluate the extent to which urban master plans are being implemented, using the City of Mutare as a case study. This was based on the premise that conformance between plans and outcomes is the intent of most planning processes. The main objective of this study was to assess the conformance of physical developments of city of Mutare to the Urban Master plan Land Use Proposals. The results show that implementation of the city of Mutare’s master plan is a success, in spite of non-conformance in some areas of the city. The master plan managed to guide the physical development in the city, suggesting the effectiveness of the planning system of Mutare City Council. The planning system is in line with planning policy and legislation, which requires compliance to master plan proposals.

There is need, however, to continuously monitor the land use outcomes to ensure that developments conform to master plan proposals. Environmental needs are often overlooked by Local Planning Authorities in pursuance of a community's socio-economic needs, since ecosystem services are often enjoyed without being given an economic value (Chiesura, 2003). Consequently, reserved open spaces end up being occupied by other uses that generate revenue for Local Planning Authorities. In order to achieve sustainable urban environments, there is need to involve other organisations responsible for environmental protection, such as the Environmental Management Agency (EMA), in the management of land reserved as open spaces or for environmental use. Any change(s) to land reservation should be effected subject to EMA approval and after considering its effects on the urban landscape as a whole.

The assessment of conformance to master plans is necessary for all urban areas, considering the negative environmental implications associated with non-conformance. This will also determine the extent to which Urban Local Authorities are following planning policy and legislation. The formulation of the new master plan currently in progress should consider the implementation successes and failures of the current master plan highlighted in this study. The plan should focus on attaining a sustainable urban environment and creating a balance between ecological and socio-economic needs. This can be achieved by planning at an ecosystemic scale rather than limiting planning focus within the new administrative city boundary.

References


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